

## KENNETH EARL THORPE

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### EDUCATION

1978	Political Science	B.A.	University of Michigan
1980	Public Policy	M.A.	Duke University
1985	Public Policy	Ph.D.	Rand Graduate Institute

### FACULTY

1999-Present	Robert W. Woodruff Professor and Chair, Department of Health Policy and Management, Rollins School of Public Health, Emory University
1995-1999	Vanselow Professor, Health Systems Management, Tulane University School of Public Health and Tropical Medicine
1995-1999	Director, Institute for Health Services Research, Tulane University School of Public Health and Tropical Medicine
1994-1995	Professor, Health Policy and Administration, University of North Carolina School of Public Health
1990-1994	Associate Professor Health Policy and Administration, University of North Carolina School of Public Health
1989-1990	Associate Professor, Health Policy and Management, Harvard University School of Public Health
1988-1990	Director, Program on Health Policy and Health Care Financing Management and Insurance, Harvard University School of Public Health

1986-1989	Assistant Professor, Health Policy and Management, Harvard University School of Public Health
1983-1986	Assistant Professor, Health Administration, Columbia University School of Public Health

### **WORK EXPERIENCE**

2005	Board of Directors	Coalition for Health Services Research
2005	Editorial Board	<u>Health Affairs</u>
2004	Gubernatorial appointee	Governor's Panel on Health Reform, Louisiana
2002	Gubernatorial appointee	Governor's Action Group on Accessibility and Affordability of Health Insurance, State of Georgia
1999	Board of Directors	Louisiana Medical Mutual Insurance Company
1996	Vice Chairman	Louisiana Health Care Commission
1993-1995	Deputy Assistant Secretary for Health Policy	Department of Health and Human Services
1993-1994	Chair, Quantitative Impacts of Health Reform, President Clinton's Health Care Reform Task Force	The White House, Washington,
1991	Member	Institute of Medicine, Panel on 1992 the Future of Employer-Sponsored Health Benefits
1991	Consultant	National Leadership Coalition for Health Care Reform
1990	Member	Advisory Council on Social Security, Technical Panel on Future of Income Security and Medicare
1990	Gubernatorial Appointee	Massachusetts Commission on Health Care Financing

1989	Member	New York State Universal Health Insurance Advisory Council
1989	Consultant	Council on Health Care Financing, New York State Assembly, provided technical analysis and aided in design of New York's all-payer DRG hospital payment system
1985	Consultant	RAND/UCLA Center for Health Care Financing Policy
1980-1984	Graduate Fellow	The RAND Graduate School, RAND Corporation
1980	Staff Member	Human Resources & Community Development Division, Congressional Budget Office, Washington, D.C.
1979	Summer Staff Member	Office of Congressional Affairs

#### MAJOR INTERNATIONAL EXPERIENCE:

1995	Developed papers and provided technical assistance, and acted as a resource person for the Regional Conference on Health Sector Reform in Asia, at the Asian Development Bank, Manila, Philippines.
1994	US Representative to the Organization Economic Cooperation and Development (OECD)  Conference on Health Care Reform. As Deputy Assistant Secretary for Health Policy was one of three US delegates working with OECD countries.
1985-1988	Visiting Professor, St. George's Medical School, St. Georges, Grenada, West Indies. Taught introductory health financing class to medical students. Provided technical assistance to hospitals and nursing homes in Grenada.

**MAJOR VISITING APPOINTMENTS:**

1981-1984	Adjunct Assistant Professor	Business and Management	Pepperdine University
1985	Visiting Assistant Professor	Graduate School of Business	Columbia University
1991	Adjunct Associate Research Professor	School of Medicine	Duke University

**PUBLICATIONS**

1. Thorpe KE. *"The Use of Relative Prices in DRG Payment Systems: Distributional Implications"*, Inquiry (Spring 1987).
2. Thorpe KE, Brecher C. *"Improved Access to Care for the Uninsured Poor in Large Cities-Do Public Hospitals Make a Difference?"*, Journal of Health Politics, Policy and Law (Spring 1987).
3. Thorpe KE. *"Does All-Payer Rate Setting Work? The Case of the New York Prospective Hospital Reimbursement Methodology"*, Journal of Health Politics, Policy and Law 12(3) (Fall 1987):391-408.
4. Thorpe KE, Cretin S, Keeler E. *"Are the DRG Case Weights Compressed?"*, Health Care Financing Review (Winter 1988).
5. Thorpe KE. *"Why Are Urban Hospital Costs So High? The Relative Importance of Patient Source Admission, Teaching, Competition and Case Mix"*, Health Services Research (February 1988).
6. Thorpe KE. *"The Use of Regression Analysis to Determine Hospital Payment: The Case of Medicare's Indirect Teaching Adjustment"*, Inquiry (Summer 1988).
7. Thorpe KE. *"Uncompensated Care Pools and Care to the Uninsured: Lessons from the New York Prospective Hospital Reimbursement Methodology"*, Inquiry (Fall, 1988).
8. Thorpe KE, Siegel JE, Dailey T. *"Including the Poor: The Fiscal Impacts of Medicaid Expansion"*, Journal of the American Medical Association (February 17, 1989).
9. Thorpe KE. *"The Costs and Distributional Implications of Employer Mandated Health Insurance and Medicaid Expansion"*, Inquiry (Fall 1989).

10. Hiatt H, Barnes B, Brennan T, Johnson W, Laird N, Lawthers A, Leape L, Localio R, Newhouse J, Peterson L, Thorpe K, Weiler P. "A Study of Medical Injury and Medical Malpractice: An Overview", The New England Journal of Medicine (August 17, 1989): 480-484.
11. Thorpe KE, Siegel JE. "Covering the Uninsured: Public Sector Impact of Employer Health Insurance Mandates and a Medicaid Buy-In", Journal of the American Medical Association (October 20, 1989).
12. Makadon H, Seage G, Thorpe KE, Fineberg H. "Paying the Medical Costs of the HIV Epidemic: Policy Options, Strategies for Change", Journal of Acquired Immune Deficiency Syndrome (Fall 1990).
13. Thorpe KE, Phelps C. "Regulatory Intensity and Hospital Cost Growth", Journal of Health Economics 9(2) (Summer 1990):143-166.
14. Thorpe KE. "Toward a New Decade of Health Care for New York City", New York State Journal of Medicine (May, 1990).
15. Thorpe KE. "House Staff Supervision and Working Hours: The Implications of Regulatory Reform in New York State", Journal of the American Medical Association (June 21, 1990).
16. Thorpe KE, Phelps C. "The Social Role of Not-for-Profit Organizations: Hospital Provision of Charity Care", Economic Inquiry (July 1991).
17. Thorpe KE, Spencer C. "How Do Uncompensated Care Pools Affect the Level and Type of Care? Results from New York State", Journal of Health Politics, Policy and Law 16(2) (Summer 1991):363-381.
18. Epstein AM, Bogen J, Dreyer P, Thorpe KE. "Trends in Length of Stay and Rates of Readmission in Massachusetts: Implications for Monitoring Quality of Care", Inquiry 28(1) (Spring 1991):19-28.
19. Thorpe KE. "The National Health Insurance Conundrum: Shifting Paradigms and Potential Solutions", Journal of American Health Policy 1(1) (Spring 1991):17-22.
20. Brennan T, Herbert L, Lawthers A, Laird N, Thorpe K. "Hospital Characteristics Associated with Adverse Events and Substandard Care", Journal of the American Medical Association 265(24) (June 26, 1991):3265-3269.
21. Thorpe KE, Gertler PJ, Goldman P. "The Resource Utilization Group System: Its Effects on Nursing Home Case Mix and Costs", Inquiry 28 (Winter 1991):357-365.
22. Thorpe KE, Hendricks A, Garnick D, et al. "Reducing the Number of Uninsured

- by Subsidizing Employment-Based Health Insurance: Results from a Pilot Study*, Journal of the American Medical Association 267(7) (February 19, 1992):945-948.
23. Goetzel RZ, Thorpe KE, Fielding JE, Pelletier KR. *“Behind the Scenes of a POS Program”*, Journal of Health Care Benefits (March-April 1992):33-37.
  24. Thorpe KE. *“Expanding Employment-Based Health Insurance: Is Small Group Reform the Answer?”*, Inquiry 29 (Summer 1992):128-136.
  25. Blendon RS, Donelan K, Van Deusen Lukas C, Thorpe KE, Frankel M, Bass R. Raylor H. *“The Uninsured and the Debate Over the Repeal of the Massachusetts Universal Health Law”*, Journal of the American Medical Association 267 (8) (February 26, 1992):1113-1117.
  26. Ginsburg PB, Thorpe KE. *“Can All-Payer Rate-Setting and the Competitive Strategy Coexist?”*, Health Affairs 11(2) (Summer 1992):73-86.
  27. Thorpe KE. *“Inside the Black Box of Administrative Costs”*, Health Affairs 11 (2) (Summer 1992):41-55.
  28. Thorpe KE. *“The American States and Canada: A Comparative Analysis of Health Care Spending”*, Journal of Health Politics, Policy and Law 18 (2) (Summer 1993):477-490.
  29. Thorpe KE. *“The Best of Both Worlds; Merging Competition and Regulation”*, Journal of American Health Policy (July/August 1992):20-24.
  30. Haber S, Zwanziger J, Anderson G, Thorpe K, Newhouse J. *“Hospital Expenditures in the United States and Canada: Do Hospital Worker Wages Explain the Differences?”*, 11 (4) Journal of Health Economics (1992):453-466.
  31. Capilouto E, Thorpe KE, Dailey T. *“How Restrictive are Medicaid Categorical Eligibility Requirements?”*, Inquiry 29 (4) (Winter 1992):451-456.
  32. Zwanziger J, Anderson G, Haber S, Thorpe KE, Newhouse J. *“Hospital Costs in California, New York and Canada”*, Health Affairs (Summer 1993):130-139.
  33. Garnick D, Hendricks A, Thorpe K, et al. *“How Well Do Americans Understand their Health Coverage?”*, Health Affairs (Fall 1993):204-212.
  34. Rice T, Thorpe KE. *“Income-Related Cost Sharing in Health Insurance”*, Health Affairs (1993) 21-39.
  35. Garnick D, Hendricks A, Dulski J, Thorpe KE. *“Characteristics of Private - Sector Managed Care for Mental Health and Substance Abuse Treatment”*, Hospital and Community Psychiatry 45 (12) (December 1994):1201-1205.

36. Thorpe KE. "A Call for Health Services Researchers", Health Affairs (Spring 1995):63-65.
37. Thorpe,KE. "Design and Policy Issues Surrounding Medical Savings Accounts", Health Affairs (Fall 1995).
38. Stearns SC, Slifkin RT, Thorpe KE, Mroz,TA. "The Structure and Experience of State Risk Pools: 1988-1994", Medical Care Research and Review 54(2) June 1997: 223 -238.
39. Thorpe KE. "The Health Care System in Transition", Journal of Health Politics, Policy and Law, 22 (2) April 1997: 339 - 362.
40. Thorpe KE. "Incremental Approaches to Covering Uninsured Children: Design and Policy Issues", Health Affairs. July/August, 1997.
41. Thorpe KE. "Incremental Strategies for Providing Health Insurance for the Uninsured: Projected Federal Costs and Number of Newly Insured", Journal of the American Medical Association, July 27, 1997.
42. Thorpe KE, Florence C. "Covering Uninsured Children and Their Parents: Estimated Costs and Number of Newly Insured", Medical Care Research and Review, Spring, 1999.
43. Thorpe KE, Florence,C. "Health Insurance Among Children: The Role of Expanded Medicaid", Inquiry, Winter, 1999.
44. Thorpe KE. "Matching Health Policy with Data: Data and Analytic Requirements for Federal Policymakers", Health Care Financing Review, 21 (2) Winter, 1999.
45. Thorpe KE. "Victim or Villain? The On-Going Debate Over Reforming Managed Care", Journal of Health Politics, Policy and Law, October, 1999.
46. Thorpe KE, Florence C. "Why Are Workers Uninsured? Employer-Sponsored Health Insurance in 1997", Health Affairs, 18 (2) March/April, 1999.
47. Thorpe, KE, Florence CS, Gray B, "Market Incentives, Plan Choice and Price Increases" Health Affairs 18(6) November/December 1999:194-202.
48. Thorpe, KE, Florence, CS, Seiber, ES. "The Association Between Hospital Conversions, Hospital Margins and the Provision of Uncompensated Care", Health Affairs, November/December, 2000.
49. Thorpe KE, Florence C. "The Impact of HMO's on Hospital-Based Uncompensated Care", Journal of Health Politics, Policy and Law, June 2001.

50. Thorpe KE, Atherly, A *Reforming Medicare: Impacts on Federal Spending and Plan Choice*, Health Affairs, November/December 2001.
51. Feldman, R, Thorpe KE, Gray B. *The Federal Employees Health Benefits Plan: A Touchstone for Research and Policy on Employment-Based Health Insurance*. Journal of Economic Perspective, 2002.
52. Thorpe, KE, *Commentary on Interactions Among Private/Public Health Insurance* Medical Care Research and Review, 59 (1) March, 2002: 65-69.
53. Thorpe, KE. *Hospital Deregulation in New York*, Health Affairs, March/April, 2002: 6-7.
54. Thorpe, KE. A. Atherly, *The Medicare+Choice Program: Its Current Role and Near Term Prospects*, Health Affairs (July 17, 2002).
55. Florence C. , KE Thorpe, *How Does the Employer Contribution for the Federal Employees Health Benefits Program Influence Plan Selection?* Health Affairs, March/April, 2003.
56. Thorpe, KE, D. Howard, *Health Insurance and Health Care Spending Among Cancer Patients*, Health Affairs, web exclusive, April 11, 2003.
57. Adams, EK, Florence, C, KE Thorpe, et al. *Preventive Care: Female Cancer Screening 1996-2000*, American Journal of Preventive Medicine, forthcoming, 2003.
58. Shactman, D. S. Altman, E. Eilat, KE Thorpe, M. Doonan, *The Outlook for Hospital Spending* Health Affairs, November/December 2003; 12-26.
59. Thorpe, KE. *The Medical Malpractice Crisis: Recent Trends and the Impact of State Tort Reforms*. Health Affairs, January 21, 2004
60. Howard, D. Molinari, N, Thorpe, KE. *National Estimates of Health Costs Incurred By Non-Elderly Cancer Patients*. Cancer .
61. Adams, EA, Thorpe KE, et al. *Colorectal Cancer Screening 1997-1999, The Role of Income, Insurance and Policy*. *Forthcoming*, American Journal of Preventive Medicine, 2004
62. Thorpe, KE, C. Florence, P. Joski, *Which Medical Conditions Accounts for the Rise in Health Care Spending?* Health Affairs, web exclusive, August 25, 2004.



63. Thorpe, KE, “*The Health Policy Debate Over the Uninsured*” New England Journal of Medicine, 2004.
64. Thorpe, KE, et. al. “*The Impact of Obesity on Health Care Spending*” Health Affairs, October 20, 2004
65. Thorpe, KE, et al. “*The Impact of Rising Disease Prevalence on the Growth in Private Health Insurance Spending*”, Health Affairs, June 27, 2005.
66. Atherly, AA, Florence CS, Thorpe, KE. “*Health Plan Switching among Members of the Federal Employees Health Benefits Program*”, Inquiry, 42, no. 3 Fall, 2005.
67. Thorpe KE, “*The Rise in Health Care Spending and What to Do About it! The Role of Population Based Interventions*” Health Affairs, 2005.
68. Florence.C. Atherly A, and Thorpe KE, “*Will Choice Based Reform Work for Medicare? Evidence from the Federal Employees Health Benefits Program?*”, Health Services Research, 2006.
69. Howard, D, S Culler, B Druss, KE Thorpe, “*The Impact of Mortality Risk on End of Life Costs*”. Applied Health Economics and Health Policy, 5, no. 1 2006: 37-44.
70. Thorpe, KE. “*Cost Sharing, Caps on Benefits, and the Chronically Ill—A Policy Mismatch*” The New England Journal of Medicine, 354, no 22 June 1 2006: 2385-6.
71. Thorpe, KE and Howard D, “*The Rise in Spending Among Medicare Beneficiaries: The Role of Chronic Disease Prevalence and Changes in Treatment Intensity*”, Health Affairs, web exclusive, August 22, 2006.
72. Thorpe, KE. “*Factors Accounting for the Rise in Health Care Spending in the US: The Role of Rising Disease Prevalence and Treatment Intensity*”, Public Health September, 2006
73. Rask, K, Hawley, J, Davis, A, Naylor, D and Thorpe KE., “*Impact of a Statewide Reporting System on Medication Error Reduction*” Journal of Patient Safety, (2), no. 3, Sept 2006: 116-123.

#### Books and Monographs

1. Thorpe KE. Economics Incentives to Merger. Testimony before the House

- Subcommittee on Select Revenue Measures, Committee on Ways and Means. P6771- Santa Monica: Rand Corporation, 1982.
2. Dertouzos JN, Thorpe KE. Newspaper Groups: Economics of Scale, Tax Laws and Merger Incentives. R-2878-SBA. Santa Monica, Rand Corporation, 1982.
  3. Dertouzos JN, Thorpe KE. Specification Error and the Price Effects of Media Crossownership. P-6776. Santa Monica: Rand Corporation, 1982.
  4. Dertouzos JN, Thorpe KE. The News Media and the Demand for Studio Production Facilities. P-6776. Santa Monica: Rand Corporation, 1982.
  5. Thorpe KE. Hospital Cost Shifting. New York Business Group on Health. 4(3):1984.
  6. Thorpe KE. The Cost and Financing of Medical Education. New York Business Group on Health. 1: 1985.
  7. Thorpe KE. Uncompensated Hospital Care. New York Business Group on Health. Fall 1985.
  8. Altman C, Brecher C, Henderson M, Thorpe K. Competition and Compassion; Conflicting Roles for Public Hospitals. Ann Arbor: Health Administration Press, 1988.
  9. Thorpe KE. Financing Graduate Medical Education. Statement before the Subcommittee on Health, House Ways and Means Committee, 11 April 1989.
  10. Thorpe KE. Financing Access to Medical Care. Statement before the House Select Committee on Children, Youths and Families, December, 1989.
  11. Thorpe KE. Medicaid Expenditures on AIDS: Future Trends and Policy Issues. Statement Subcommittee on Health and the Environment, February, 1990.
  12. Thorpe KE. Economic Impacts of S. 1227, Health Care for All Americans Act. Statement Before the U.S. Senate Committee on Labor and Human Resources, Washington, D.C., 24 July 1991.
  13. Rice T, Thorpe KE. The Feasibility of Income-Related Cost Sharing in Health Insurance Plan. American Association of Retired Persons, November, 1991.
  14. Thorpe KE. Tax Incentives to Purchase Health Insurance. Statement before the Senate Finance Committee, 18 June 1992.
  15. Thorpe KE. Structural Reforms in the Medicare Program. Statement before Senate Aging Committee, 19 May 1997.

16. Thorpe, KE. Applying the FEHBP to Medicare. Statement before Senate Finance Committee, 21 May 1997.
17. Thorpe, KE. Why Health Care Costs are Rising -- Again, in Guide to Managed Care Strategies 1998, Washington Group on Health, Washington, DC, 1997.
18. Thorpe, KE. The Health Care System in Transition: Current Costs and Coverage, in Mark Peterson (ed) Healthy Markets? The New Competition in Health Care. Duke University Press, Durham, NC, 1998.
19. Thorpe KE. Options for Reforming Medicare. Statement before the Senate Finance Committee, 26 May 1999.
20. Thorpe KE. Changing the Tax Treatment of Health Insurance: Impacts on the Insured and Uninsured in D. Salisbury (ed) Severing the Link Between Health Insurance and Employment, (Employee Benefit Research Institute, Washington, D.C., 1999).
21. Blake S, Thorpe KE and K Howell, *Access to Health Care in the United States*, in Access to Health Care, M Gulliford and M. Morgan (eds) Routledge Ltd Pubs, London, England, 2003.

## **RESEARCH AND PROFESSIONAL ACTIVITIES**

### MAJOR RESEARCH AND TEACHING INTERESTS:

National and State Health Care Policy  
 Health Care Financing and Organization  
 Application of Econometric Techniques to Health Policy Issues Covering the Uninsured

### FUNDED RESEARCH AS PRINCIPAL INVESTIGATOR OR CO-INVESTIGATOR

*Health Policy Options for Georgia*, Georgia Health Care Foundation, principal investigator, \$120,000, 2004-2005

*Accountability and Health Safety-A Statewide Approach*, Agency for Health Care Quality and Research, *principal investigator*, \$1,606,430 , 2002-2005

*“Developing New Options for Financing Cancer Care*, Commonwealth Fund, *principal investigator*, \$146,565, 2002

*“Health Plan Selection for Medicare Eligible Enrollees in the FEHB”*, *co-investigator*, Robert Wood Johnson Foundation, \$213,262, 2002.

*“Contingent Workers and the Labor Market. Issues and Implications for Health Care Reform”*, The Commonwealth Fund, \$25,000, 1999.

*“The Impact of Hospital Ownership Changes in the Hospital Delivery System”*, Robert Wood Johnson Foundation, \$509,156, 1997.

*“The Impact of Managed Care on the Provision of Uncompensated Care”*, Kaiser Family Foundation, \$150,000, 1997.

*“Competitive Bidding in the Federal Employees Health Benefits Program”*, Robert Wood Johnson Foundation, \$363,959, 1997.

*“Factors Contributing to the Erosion and Shifts in Insurance Coverage of Working Families”*, The Commonwealth Fund, \$151,329, 1995.

*“Evaluation of State Risk Pools: The Current and Potential Experience”*, Robert Wood Johnson Foundation, \$410,000, 1991.

*“Does Managed Care Work? An Empirical Analysis of Corporate Cost Containment Initiative”*, Robert Wood Johnson Initiative, Robert Wood Johnson Foundation, \$245,000, 1990.

*“Impact of Utilization Review on Health Care Expenditures”*, Health Insurance Association of America, \$220,000, 1990.

*“An Evaluation of the Impact of Subsidies on the Demand for Health Insurance”*, New York State Department of Health, 1989-1990, \$400,000.

*“Changes in the Financing of Health Care in New York State, 1989”*, The Commonwealth Fund and United Hospital Fund, \$50,000.

*“Impact of Private Sector Cost Containment Initiatives, 1989”*, US Department of Labor, \$100,000.

*“Payment Mechanisms and Nursing Home Outcomes”*, National Institute of Aging, 1988-1991, \$370,000.

*“Study of New York State Proposal to Restructure GME Training”*, New York State, 1988, \$50,000.

*“Expanding Medicaid: How Much Would It Cost? Health Care Agenda for the American People”*, American Medical Association, 1988, \$50,000.

*“Impact of the Resource Utilization Grouping 11 Reimbursement Program on Nursing Home Costs and Case Mix”*, Robert Wood Johnson Foundation, 1987, \$245,000.

*“Impact of the NYPHRM on Hospital Behavior”*, Robert Wood Johnson Foundation, 1985, \$200,000.

#### REVIEWER FOR:

Journal of the American Medical Association  
 Journal of Health Economics  
 Journal of Health Politics, Policy and Law  
 Journal of Policy Analysis and Management  
 Inquiry  
 Law, Medicine and Health Care  
 American Economic Review  
 Medical Care  
 The New England Journal of Medicine  
 Health Services Research  
 Journal of Human Resources  
 Health Affairs

#### **MEMBERSHIPS AND LICENSES**

##### PROFESSIONAL SOCIETIES:

American Economic Association  
 Association for Public Policy Analysis and Management  
 American Public Health Association  
 Association for Health Services Research  
 Delta Omega Society

#### **AWARDS AND HONORS**

Herbert Goldhamer Award, Rand Graduate School, 1985  
 Awarded to top graduating doctoral student.

Young Investigator Award, Association for Health Services Research, 1991

Up and Comers Award, Modern Healthcare, 1993

Philip and Ruth Hettleman Award for Artistic and Scholarly Achievement, University of North Carolina at Chapel Hill, 1994

## **SERVICE**

Have worked with the following states in developing state approaches to universal health insurance:

- Colorado
- New York
- Missouri
- North Carolina
- South Carolina
- Louisiana
- Georgia
- California
- Kansas
- Vermont
- Maine
- Massachusetts